

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Christopher P. Henze

Appln. No. :

Filed : Herewith

For : MULTIPLE DISCHARGE LOAD
ELECTRONIC BALLAST SYSTEM

Docket No.: N07.12-0007

Group Art Unit:

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
COMMISSIONER FOR PATENTS, P.O. BOX 1450,
ALEXANDRIA, VA 22313-2450, THIS

___ DAY OF ___, 20___.

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of US Patents and Publications.

TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

METHOD OF PAYMENT

- X No fee is required.
- ___ Attached is a check in the amount of \$ ____.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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FORM PTO-1449	Atty. Docket No.: N07.12-0007	Appl. No.:
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Christopher P. Henze	
	Filing Date	Group Art:
	Herewith	

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,803,215	9/8/98	Henze et al.	191	2	
AB	6,445,137	9/3/02	Okamoto et al.	315	246	
AC	5,926,004	7/20/99	Henze	320	109	
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	"POWER ELECTRONICS: Converters, Applications, and Design", N. Mohan, T.M. Undeland and W.P. Robbins, <u>John Wiley & Sons</u> , 1989, pp. 81-83, 103-104, 115-116 and 378-381.
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.